

Docket No. 294549US28PCT



10588195 - GAU-7584  
**MAIL STOP PCT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Yvan PANNATIER, et al.

SERIAL NO: 10/588,195

GAU:

FILED: August 2, 2006

EXAMINER:

FOR: SYSTEM AND METHOD FOR AUTOMATED RISK DETERMINATION AND/OR OPTIMIZATION OF THE SERVICE LIFE OF TECHNICAL FACILITIES

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☒ The applicant(s) wish to make of record the references cited in the International Search Report and listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 294549US28PCT		SERIAL NO. 10/588,195	
LIST OF REFERENCES CITED BY APPLICANT <div style="text-align: center; margin-top: 20px;">  </div>				APPLICANT Yvan PANNATIER, et al.		GROUP	
				FILING DATE August 2, 2006			
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
	AA						
	AB						
	AG						
	AD						
	AF						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
<b>FOREIGN PATENT DOCUMENTS</b>							
	DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO			
	AQ 00/70513	11/23/00	WO		NO		
	AF 2 808 909	11/16/01	FR		NO		
	AQ						
	AR						
	AS						
	AT						
	AU						
	AV						
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
AW	HOOF, Martin et al., "A Strategy for Advanced Condition Based Maintenance of Large Generators", Proceedings of The Electrical Electronics Insulation Conference and Electrical, IEEE, Pages 463-468, 2003.						
AX	KRIEG, Terry et al., "Techniques and Experience in On-Line Transformer Condition Monitoring and Fault Diagnosis in ElectraNet SA", IEEE, Pages 1019-1024, 2000.						
AY	MUELLER, Christian et al., "Beyond Mobile: Research Topics for upcoming Technologies in the Insurance Industry", Proceedings of the 36th Hawaii International Conference on System Sciences (HICSS'03), Pages 197-205, 2003.						
AZ	ROEMER, Michael J. et al., "Assessment of Data and Knowledge Fusion Strategies for Prognostics and Health Mangement", IEEE, Vol. 6, Pages 2979-2988, 2001.				<input type="checkbox"/> Additional References sheet(s) attached		
Examiner /Susanna M. Diaz/				Date Considered 06/20/2011			

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.